

SPIN ONE (REV)

*IOC Regulation 55-1

Department of the Army
Headquarters, U.S. Army
Industrial Operations Command
Rock Island, IL 61299-6000

12 8 FEB 1994

Transportation and Travel

IOC PHYSICAL SECURITY CODIFICATION PROGRAM

Applicability. This regulation applies to Headquarters, U.S. Army Industrial Operations Center (HQ, IOC), and subordinate installations.

Decentralized Printing. All IOC installations are authorized to locally reproduce this regulation.

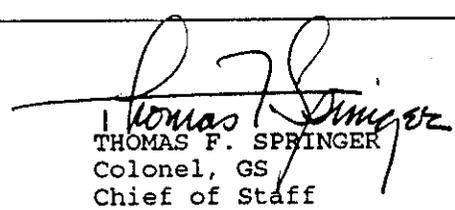
Supplementation. Supplementation of this regulation and establishment of local forms are prohibited without prior approval from Commander, HQ, IOC, ATTN: AMSMC-TM, Rock Island, IL 61299-6000.

Suggested Improvements. The proponent of this regulation is the HQ, IOC, Transportation and Traffic Management Directorate (AMSMC-TM). Users are invited to send comments and suggested improvements to Commander, HQ, IOC, ATTN: AMSMC-TM, Rock Island, IL 61299-6000.

Distribution. Distribution of this regulation is made in accordance with requirements submitted by AMCCOM/DESCOM organizations (stocked/issued by Rock Island Arsenal, ATTN: SAI-PSP).

FOR THE COMMANDER:

Official:


THOMAS F. SPRINGER
Colonel, GS
Chief of Staff

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* This regulation supersedes AMCCOMR 55-3, 6 Jun 90.

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1. Purpose.

This regulation prescribes policies, responsibilities, and procedures for security risk categorization of IOC managed items and implements provisions of DOD 5100.76-M, Physical Security of Sensitive Conventional Arms, Ammunition, and Explosives and DOD 5160.65-M, Single Manager for Conventional Ammunition Implementing Joint Conventional Ammunition Policies and Procedures.

2. References.

- a. AR 55-355, Defense Traffic Management Regulation.
- b. AR 190-11, Physical Security of Arms, Ammunition, and Explosives.
- c. DOD 5100.76-M, Physical Security of Sensitive Conventional Arms, Ammunition, and Explosives.
- d. DOD 5160.65-M, Single Manager for Conventional Ammunition Implementing Joint Conventional Ammunition Policies and Procedures.
- e. DOD 4100.39-M, Defense Integrated Data System (DIDS) Procedures Manual.

3. Abbreviations/Terms.

For explanation of abbreviations, acronyms, and terms, see the glossary.

4. Responsibilities.

a. The Deputy for Acquisition & Single Manager for Conventional Ammunition (AMSMC-DP), HQ, IOC, will review disputed codification decisions submitted by AMSMC-TM to ensure all salient issues have been addressed.

b. The Director of AMSMC-TM, HQ, IOC, will:

(1) Ensure procedures are established for accurate categorization coding of all sensitive conventional arms, ammunition and explosives managed by IOC.

(2) Perform lead directorate responsibilities for assignment of security risk categories.

(3) Effect liaison with lateral and/or higher headquarter's functions and commercial industry ensuring accurate security risk codification.

(4) Mediate disputes over proper codification between all affected functions and determine appropriate security risk category. When disagreements cannot be resolved and the Physical Security Risk Category Sheet (figure 1) has been staffed for comments, forward determination to AMSMC-DP for review and concurrence.

(5) Ensure security risk categories correlate with Traffic Management Data (TMD) within the Commodity Command Standard System (CCSS).

c. The Director of Production (AMSMC-PD), HQ, IOC, will:

(1) Analyze the security risk category assignment as it applies to production at Government-owned, Government-operated (GOGO), Government-owned, Contractor-operated (GOCO), and/or Contractor-owned, Contractor-operated (COCO) facilities.

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PHYSICAL SECURITY RISK CATEGORY SHEET

1. This sheet will be used to staff challenges to existing Controlled Inventory Item Code (CIIC) sensitive item code in accordance with IOCR 55-1. Any nonconcurrency of the code indicated by AMSMC-TM will require the rationale of the nonconcurrency as an enclosure.

2. The security risk category of National Stock Number (NSN):
_____ should be as follows:

<u>Directorate/Office</u>	<u>Signature</u>	<u>Date</u>	<u>Code</u>
AMSMC-TM	_____	_____	_____
AMSMC-DS	_____	_____	_____
AMSMC-AS	_____	_____	_____
AMSMC-PAI	_____	_____	_____
AMSMC-PD	_____	_____	_____
AMSMC-QA	_____	_____	_____
AMSMC-SF	_____	_____	_____
AMSMC-SS	_____	_____	_____
SMCAR-ES	_____	_____	_____

3. When completed, this sheet should be sent to AMSMC-TM with copies of the documented nonconcurrences. If the above code does not result in total concurrence, AMSMC-TM will initiate action to resolve disagreements in accordance with IOCR 55-3.

Figure 1. Physical Security Risk Category Sheet

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(2) Provide specific comments regarding impacts on production and storage (industrial stocks) as an enclosure to the Physical Security Risk Category Sheet for consideration during challenges to existing CIIC sensitive item codes.

d. The Provost Marshal (AMSMC-SS), HQ, IOC, will:

(1) Analyze the security risk category assignment as it applies to the specific item. Be prepared to coordinate with the Production Directorate (AMSMC-PD) and GOCO Division (AMSMC-PAI) of the Ammunition & Industrial Base Procurement Directorate (AMSMC-PA), if an impact assessment is required.

(2) Review and coordinate requests for waiver and/or exception received from IOC subordinate installations/activities regarding storage impacts as a result of codification actions. Forward requests to HQ, U.S. Army Materiel Command (AMC), after appropriate staffing. Monitor all requests and approved waivers and exceptions to security standards.

(3) Provide specific comments regarding security standards as an enclosure to the Physical Security Risk Category Sheet for consideration during challenges to existing CIIC sensitive item codes.

e. The Director of Defense Ammunition Directorate (AMSMC-DS), HQ, IOC, for materiel within directorate responsibility, will:

(1) Analyze the security risk category assignment as it applies to the management of the item.

(2) Ensure accuracy/consistency of the Controlled Inventory Item Code (CIIC) sensitive items code displayed in CCSS.

(3) Provide specific comments regarding storage impacts (field service stocks) as an enclosure to the Physical Security Risk Category Sheet for consideration during challenges to existing CIIC Sensitive Item Codes.

f. The Director of Ammunition & Industrial Base Procurement Directorate (AMSMC-PA), HQ, IOC, will:

(1) Coordinate with the GOCO and/or COCO facilities as necessary to assess the security risk category and provide cost impacts as required.

(2) Provide comments and impact statement as required as an enclosure to the Physical Security Risk Category Sheet for consideration during challenges to existing CIIC sensitive item codes.

g. Heads of all staff elements in IOC, including those above, will:

(1) Provide necessary input to the Physical Security Risk Category Sheet on an as-needed/required basis.

(2) Forward any actions regarding or challenging IOC security risk category to AMSMC-TM for resolution.

5. Policies.

a. AMSMC-TM, HQ, IOC, is the lead directorate responsible for ensuring proper and timely assignment of security risk categorization for IOC managed materiel.

b. Those directorates/offices affected by, and having input to the codification process follow, but are not limited to: Safety (AMSMC-SF), Provost Marshal (AMSMC-SS), Product Assurance (AMSMC-QA), Defense Ammunition (AMSMC-DS), Engineering Support (SMCAR-ES), Weapon Systems Management (AMSMC-AS), Production (AMSMC-PD), Transportation and Traffic Management (AMSMC-TM), and Ammunition & Industrial Base Procurement (AMSMC-PA).

c. AMSMC-DS is responsible for the initial assignment of the CIIC sensitive item code for their managed material and for CCSS entry.

d. Coordination will be effected by AMSMC-TM with directorates listed in paragraph 5b above, as appropriate, to ensure that all relevant factual information is made available for final coding of new materiel into the IOC managed inventory, or recoding materiel challenged, either internally or externally to the Command.

e. The security risk category initially assigned will be reviewed by AMSMC-TM and when challenged will be in coordination with elements in paragraph 5b above, as appropriate. As a minimum, coordination will be with the Inventory Management Specialist. The assigned code will be in accordance with DOD 5100.76-M which will determine storage requirements of AR 190-11, Physical Security of Arms, Ammunition, and Explosives and the transportation requirements of AR 55-355, Defense Traffic Management Regulation. When required, the AMSMC-TM position will be coordinated with the other Services and/or higher headquarters.

f. Recommended changes in DOD 5100.76-M or disagreements regarding security risk category assignments will be resolved within the Deputy for Acquisition & Single Manager for Conventional Ammunition AMSMC-DP.

6. Procedures.

a. Challenges:

(1) AMSMC-TM will receive all challenges and/or requests for security risk category determination.

(2) AMSMC-TM will evaluate challenged coding from either internal or external sources.

(3) If there are no disagreements, AMSMC-TM will inform all affected directorates/offices of the security risk category assignment.

(4) If there are disagreements, AMSMC-TM will staff a recommended security risk category to all involved Directorates/Offices.

(5) If disagreements cannot be resolved, AMSMC-TM will forward its determination to AMSMC-DP for review and concurrence.

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b. New Items:

(1) AMSMC-DS will initially assign the CIIC sensitive item code and effect CCSS entry.

(2) AMSMC-TM will receive and review a CCSS report of new NSNs and/or NSNs which have recently been converted from a Management Control Number (MCN) to a NSN.

(3) AMSMC-TM will review the report only to ensure that the CIIC sensitive item code assigned is in accordance with DoD 5100.76-M.

(4) If AMSMC-TM does not agree with the security risk category assigned, they will coordinate with AMSMC-DS.

(5) If after coordination there is a disagreement, AMSMC-TM will staff a recommended security risk category with all involved Directorates/Offices.

(6) If a resolution cannot be attained, AMSMC-TM will forward their determination with rationale to AMSMC-DP for concurrence.

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GLOSSARY

AMC - HQ, U.S. Army Materiel Command

AMSMC-DP - Deputy for Acquisition & Single Manager for
Conventional Ammunition

AMSMC-DS - Defense Ammunition Directorate

AMSMC-QA - Product Assurance & Test Directorate

AMSMC-PA - Ammunition & Industrial Base Procurement Directorate

AMSMC-PAI - GOCO Division of the Ammunition & Industrial
Base Procurement Directorate

AMSMC-PD - Production Directorate

AMSMC-SF - Safety Office

AMSMC-SS - Provost Marshal

AMSMC-TM - Transportation and Traffic Management Directorate

AR - Army Regulation

CCSS - Commodity Command Standard System

CIIC - Controlled Inventory Item Code

COCO - Contractor-Owned, Contractor-Operated

DIDS - Defense Integrated Data System

DOD - Department of Defense

GOCO - Government-Owned, Contractor-Operated

GOGO - Government-Owned, Government-Operated

IOC - Industrial Operations Command

MCN - Management Control Number

NSN - National Stock Number

SMCAR-ES - Engineering Support

TMD - Traffic Management Data